

**Shareholding Pattern**  
**SI Capital & Financial Services Ltd**

**Scip Code: 530970**

**Quarter Ending : September 2022**

<b>SI No</b>	<b>Particulars</b>	<b>Yes/No</b>
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities?	No
3	Whether the Listed Entity has issued any warrants?	Yes
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	Yes
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No
8	Whether the listed entity has any significant beneficial owner?	No



# SI Capital & Financial Services Ltd

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of the shareholders (I)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) =	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) As a	Number of Voting Rights held in each class of securities			Total as a % of Total Voting Rights (IX)	No. of Shares Underlying Outstanding convertible securities (including securities convertible) (X)	Shareholding, as assuming conversion of convertible securities (XI)=	Number of Locked in shares (a) (XII)	Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form (XIV)	
							No of Voting Rights Class eg: X	Class eg: y	Total					As a % of total Shares held(b) (XIII)	As a % of total Shares held(b) (XIII)		
<b>1 Indian</b>																	
(a) Individuals / Hindu Undivided Family	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b) Central Government / State Government(s)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c) Financial Institutions / Banks	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d) Any Other (Specify)	1	1611480	0	0	1611480	50.3588	1611480	0	1611480	50.3588	200000	50.3189	0	0.0000	0	0.0000	1611480
Bodies Corporate	1	1611480	0	0	1611480	50.3588	1611480	0	1611480	50.3588	200000	50.3189	0	0.0000	0	0.0000	1611480
Sharewealth Securities Ltd	1	1611480	0	0	1611480	50.3588	1611480	0	1611480	50.3588	200000	50.3189	0	0.0000	0	0.0000	1611480
<b>Sub Total (A)(1)</b>	<b>1</b>	<b>1611480</b>	<b>0</b>	<b>0</b>	<b>1611480</b>	<b>50.3588</b>	<b>1611480</b>	<b>0</b>	<b>1611480</b>	<b>50.3588</b>	<b>200000</b>	<b>50.3189</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>1611480</b>
<b>2 Foreign</b>																	
(a) Individuals (Non-Resident Individuals / Foreign	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b) Government	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c) Institutions	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d) Foreign Portfolio Investor	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e) Any Other (Specify)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
<b>Sub Total (A)(2)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>
<b>Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)</b>	<b>1</b>	<b>1611480</b>	<b>0</b>	<b>0</b>	<b>1611480</b>	<b>50.3588</b>	<b>1611480</b>	<b>0</b>	<b>1611480</b>	<b>50.3588</b>	<b>200000</b>	<b>50.3189</b>	<b>0</b>	<b>0.0000</b>	<b>0</b>	<b>0.0000</b>	<b>1611480</b>

**SI Capital & Financial Services Ltd**

**Table III - Statement showing shareholding pattern of the Public shareholder**

Category & Name of the shareholders (I)	Nos. of shareholders (III)	No. of fully paid up equity shares held (IV)	Partly paid-up equity shares held (V)	No. of shares underlying Depository Receipts (VI)	Total nos. held (VII) =	Shareholding % calculated as per SCRR, 1957 As a % of (A+B+C2) (VIII) As a	Number of Voting Rights held in each class of securities			Total as a % of Total Voting Rights (X)	No. of Shares Underlying Outstanding convertible securities (X)	Shareholding, as a % assuming full conversion of convertible securities (XI)=	Number of Locked in shares (XII)	Number of Shares pledged or otherwise encumbered (XIII)	Number of Shares held in dematerialised form (XIV)	Sub-categorization of shares				
							No of Voting Rights Class eg: X	Class eg: y	Total (IX)							Shareholding under category(i) (XV)	Sub-category(i) (XV)	Sub-category(ii) (XV)		
<b>1 Institutions (Domestic)</b>																				
(a) Mutual Fund	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
(b) Venture Capital Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
(c) Alternate Investment Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
(d) Banks	1	9200	0	0	9200	0.2875	9200	0	9200	0.2875	0	0.2556	0	0.0000	NA	NA	0	0	0	0
(e) Insurance Companies	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
(f) Provident Funds/ Pension Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
(G) Asset Reconstruction Companies	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
(h) Sovereign Wealth Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
(i) NBFCs registered with RBI	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
(j) Other Financial Institutions	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
(k) Any Other (Specify)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
Sub Total (B)(1)	1	9200	0	0	9200	0.2875	9200	0	9200	0.2875	0	0.2556	0	0.0000	NA	NA	0	0	0	0
<b>2 Institutions (Foreign)</b>																				
(a) Foreign Direct Investment	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
(b) Foreign Venture Capital Investors	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
(c) Sovereign Wealth Funds	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
(d) Foreign Portfolio Investors Category I	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
(e) Foreign Portfolio Investors Category II	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
(f) Overseas Depositories(holding DRs) (balancing figure)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
(g) Any Other (Specify)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
Sub Total (B)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
<b>3 Central Government/ State</b>																				
(a) Central Government / President of India	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
(b) State Government / Governor	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
<b>Shareholding by Companies or Bodies</b>																				
<b>Corporate where Central / State Government is a promoter</b>																				
(C) Sub Total (B)(3)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
<b>4 Non-Institutions</b>																				
(a) Associate companies / Subsidiaries	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
<b>Directors and their relatives (excluding Independent Directors and nominee)</b>																				
(b) Directors	2	336980	0	0	336980	10.5306	336980	0	336980	10.5306	200000	14.9161	200000	59.3507	NA	NA	336980	0	0	0
Anu Thomas Cheriyan	1	200000	0	0	200000	6.2500	200000	0	200000	6.2500	200000	11.1111	200000	100.0000	NA	NA	200000	0	0	0
Anoop Jolly	1	136980	0	0	136980	4.2806	136980	0	136980	4.2806	0	3.805	0	0.0000	NA	NA	136980	0	0	0

(C)	Key Managerial Personnel	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
	Relatives of promoters (other than 'immediate relatives' of promoters disclosed under 'Promoter and Promoter Group' category)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
(D)	Trusts where any person belonging to 'Promoter and Promoter Group' category is 'trustee', 'beneficiary', or 'author of the Investor Education and Protection Fund (IEPF)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
(E)	i. Resident Individual holding nominal share capital up to Rs. 2 lakhs.	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
(f)	ii. Resident individual holding nominal share capital in excess of Rs. 2 lakhs.	1636	972706	0	0	972706	30.3971	972706	0	972706	30.3971	0	27.0196	0	0.0000	NA	NA	240156	0	0	0
(g)	Jayant Pranjivandas	2	112300	0	0	112300	3.5094	112300	0	112300	3.5094	0	3.1194	0	0.0000	NA	NA	51900	0	0	0
(h)	Surendra Kumar Nakhat .		57900	0	0	57900	1.8094	57900	0	57900	1.8094	0	1.6083	0	0.0000	NA	NA	0	0	0	0
(i)	Non Resident Indians (NRIs)	5	123132	0	0	123132	3.8479	123132	0	123132	3.8479	0	3.4203	0	0.0000	NA	NA	123132	0	0	0
(j)	Vivek Ramachandran	1	112400	0	0	112400	3.5125	112400	0	112400	3.5125	0	3.12222	0	0.0000	NA	NA	112400	0	0	0
(k)	Foreign Nationals	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
(l)	Foreign Companies	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0	0	0	0
(m)	Bodies Corporate	21	33500	0	0	33500	1.0469	33500	0	33500	1.0469	0	0.9306	0	0.0000	NA	NA	200	0	0	0
	Any Other (Specify)	3	702	0	0	702	0.0219	702	0	702	0.0219	0	0.0195	0	0.0000	NA	NA	702	0	0	0
	Hindu Undivided Family	3	702	0	0	702	0.0219	702	0	702	0.0219	0	0.0195	0	0.0000	NA	NA	702	0	0	0
	Sub Total (B)(4)	1669	1579320	0	0	1579320	49.3538	1579320	0	1579320	49.3538	200000	49.4255	200000	12.6637	NA	NA	753070	0	0	0
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)+b(4)	1670	1588520	0	0	1588520	49.6413	1588520	0	1588520	49.6413	200000	49.6811	200000	12.5903	NA	NA	753070	0	0	0

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note :

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII)is not applicable in the above format.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

## SI Capital & Financial Services Ltd

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of the shareholders (I)	Nos. of shareholders (III)	No. of fully paid up equity shares (IV)	Partly paid-up equity shares (V)	No. of shares underlyin g Depositor Receipts (VI)	Total nos. shares (VII) =	Sharehold ing % calculated as per SCRR, 1957 As a % of (VIII) As a	Number of Voting Rights held in each class of securities			Total as a % of (IX)	No. of Shares Underlyin g Outstandi ng (including securities (X)	Sharehold ing , as a % assuming full conversion of convertibl e securities (XI)=	Number of Locked in shares (a)	As a % of total Shares (b) (XII)	Number of Shares pledged or otherwise encumbered		Number of equity shares held in demateri alised form (XIV)
							No of Voting Rights Class eg: y Total (X)	As a % of total Shares (b) (XIII)	As a % of total Shares (b) (XIII)								
1 Custodian/DR Holder Employee Benefit Trust / Employee Welfare Trust under SEBI (Share based Employee Benefits and Sweat Equity) Regulations, 2021	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0
2 Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	NA	NA	0

**Note :**

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the names of the shareholders holding 1% or more than 1% of shares of the listed entity. Column no.(XIII)is not applicable in the above format.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available.